



PAIA MANUAL

**Prepared in terms of section 51 of the
Promotion of Access to Information Act 2
of 2000 (as amended)**

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1. LIST OF ACRONYMS AND ABBREVIATIONS

- | | | |
|-----|--------------------|--|
| 1.1 | “CEO” | Chief Executive Officer |
| 1.2 | “DIO” | Deputy Information Officer; |
| 1.3 | “IO” | Information Officer; |
| 1.4 | “Minister” | Minister of Justice and Correctional Services; |
| 1.5 | “PAIA” | Promotion of Access to Information Act No. 2 of 2000 as Amended; |
| 1.6 | “POPIA” | Protection of Personal Information Act No.4 of 2013; |
| 1.7 | “Regulator” | Information Regulator; |
| 1.8 | “Republic” | Republic of South Africa; and |
| 1.9 | “Wauko” | The Wauko group of companies ¹ |

2. PURPOSE OF PAIA MANUAL

This PAIA Manual is useful for the public to-

- 2.1 check the categories of records held by a body which are available without a person having to submit a formal PAIA request;
- 2.2 have a sufficient understanding of how to make a request for access to a record of the body, by providing a description of the subjects on which the body holds records and the categories of records held on each subject;

¹ The Wauko group of companies consist of WauComply (Pty) Ltd, WauFM (Pty) Ltd, WauTreasury (Pty) Ltd, Wauko (Pty) Ltd, WauRentals (Pty) Ltd, WauTech (Pty) Ltd and WauPerform (Pty) Ltd.

- 2.3 know the description of the records of the body which are available in accordance with any other legislation;
- 2.4 access all the relevant contact details of the Information Officer and Deputy Information Officer who will assist the public with the records they intend to access;
- 2.5 know the description of the guide on how to use PAIA, as updated by the Regulator and how to obtain access to it;
- 2.6 know if the body will process personal information, the purpose of processing of personal information and the description of the categories of data subjects and of the information or categories of information relating thereto;
- 2.7 know the description of the categories of data subjects and of the information or categories of information relating thereto;
- 2.8 know the recipients or categories of recipients to whom the personal information may be supplied;
- 2.9 know if the body has planned to transfer or process personal information outside the Republic of South Africa and the recipients or categories of recipients to whom the personal information may be supplied; and
- 2.10 know whether the body has appropriate security measures to ensure the confidentiality, integrity and availability of the personal information which is to be processed.

3. KEY CONTACT DETAILS FOR ACCESS TO INFORMATION OF WAUKO

3.1. Information Officers for the various Wauko group entities

Name of subsidiary	Name of IO	Telephone number	Email	Fax number
Wauko	Pieter van As le Roux	021 8197816	pleroux@wauko.com	n/a

WauComply	Madeleen Elizabeth van Schalkwyk	021 8197811	mvanschalkwyk@wauko.com	n/a
WauFM	Daniel Dirk Malan	021 8197803	dmalan@wauko.com	n/a
WauPerform	Maria Aletta van Schalkwyk	021 8197817	rvanschalkwyk@wauko.com	n/a
WauRentals	Pieter van As le Roux	021 8197816	pleroux@wauko.com	n/a
WauTech	Pieter van As le Roux	021 8197816	pleroux@wauko.com	n/a
WauTreasury	Sean Frederick Tweedie	021 8197820	stweedie@wauko.com	n/a

3.2. Deputy Information Officer for Wauko

Name: Marianne Mokken
Tel: 021 819 7813
Email: mmokken@wauko.com
Fax number: n/a

3.3 Access to information general contacts

Email: compliance@wauko.com

3.4 National or Head Office

Postal Address: PO Box 180,

Stellenbosch, 7599

Physical Address: Unit 2, Devonbosch Estate,
Bottelary Road, Koelenhof
Stellenbosch, 7600

Telephone: 021 882 8033

Email: pleroux@wauko.com

Website: www.wauko.com

4. GUIDE ON HOW TO USE PAIA AND HOW TO OBTAIN ACCESS TO THE GUIDE

4.1. The Regulator has, in terms of section 10(1) of PAIA, as amended, updated and made available the revised Guide on how to use PAIA (“Guide”), in an easily comprehensible form and manner, as may reasonably be required by a person who wishes to exercise any right contemplated in PAIA and POPIA.

4.2. The Guide is available in each of the official languages and in braille.

4.3. The aforesaid Guide contains the description of-

4.3.1. the objects of PAIA and POPIA;

4.3.2. the postal and street address, phone and fax number and, if available, electronic mail address of-

4.3.2.1. the Information Officer of every public body, and

- 4.3.2.2. every Deputy Information Officer of every public and private body designated in terms of section 17(1) of PAIA² and section 56 of POPIA³;
- 4.3.3. the manner and form of a request for-
 - 4.3.3.1. access to a record of a public body contemplated in section 11⁴; and
 - 4.3.3.2. access to a record of a private body contemplated in section 50⁵;
- 4.3.4. the assistance available from the IO of a public body in terms of PAIA and POPIA;
- 4.3.5. the assistance available from the Regulator in terms of PAIA and POPIA;
- 4.3.6. all remedies in law available regarding an act or failure to act in respect of a right or duty conferred or imposed by PAIA and POPIA, including the manner of lodging-
 - 4.3.6.1. an internal appeal;
 - 4.3.6.2. a complaint to the Regulator; and
 - 4.3.6.3. an application with a court against a decision by the information officer of a public body, a decision on internal appeal or a decision by the Regulator or a decision of the head of a private body;

² Section 17(1) of PAIA- *For the purposes of PAIA, each public body must, subject to legislation governing the employment of personnel of the public body concerned, designate such number of persons as deputy information officers as are necessary to render the public body as accessible as reasonably possible for requesters of its records.*

³ Section 56(a) of POPIA- *Each public and private body must make provision, in the manner prescribed in section 17 of the Promotion of Access to Information Act, with the necessary changes, for the designation of such a number of persons, if any, as deputy information officers as is necessary to perform the duties and responsibilities as set out in section 55(1) of POPIA.*

⁴ Section 11(1) of PAIA- *A requester must be given access to a record of a public body if that requester complies with all the procedural requirements in PAIA relating to a request for access to that record; and access to that record is not refused in terms of any ground for refusal contemplated in Chapter 4 of this Part.*

⁵ Section 50(1) of PAIA- *A requester must be given access to any record of a private body if-*

- a) *that record is required for the exercise or protection of any rights;*
- b) *that person complies with the procedural requirements in PAIA relating to a request for access to that record; and*
- c) *access to that record is not refused in terms of any ground for refusal contemplated in Chapter 4 of this Part.*

- 4.3.7. the provisions of sections 14⁶ and 51⁷ requiring a public body and private body, respectively, to compile a manual, and how to obtain access to a manual;
- 4.3.8. the provisions of sections 15⁸ and 52⁹ providing for the voluntary disclosure of categories of records by a public body and private body, respectively;
- 4.3.9. the notices issued in terms of sections 22¹⁰ and 54¹¹ regarding fees to be paid in relation to requests for access; and
- 4.3.10. the regulations made in terms of section 92¹².
- 4.4. Members of the public can inspect or make copies of the Guide from the offices of the public and private bodies, including the office of the Regulator, during normal working hours. A copy of the Guide can be obtained by completing the prescribed **Form 1**. A copy of Form 1 is attached to this manual as **Annexure A**.
- 4.5. The Guide can also be obtained-
- 4.5.1. upon request to the Information Officer;
- 4.5.2. from the website of the Regulator (<https://www.inforegulator.org.za>).

⁶ Section 14(1) of PAIA- The information officer of a public body must, in at least three official languages, make available a manual containing information listed in paragraph 4 above.

⁷ Section 51(1) of PAIA- The head of a private body must make available a manual containing the description of the information listed in paragraph 4 above.

⁸ Section 15(1) of PAIA- The information officer of a public body, must make available in the prescribed manner a description of the categories of records of the public body that are automatically available without a person having to request access

⁹ Section 52(1) of PAIA- The head of a private body may, on a voluntary basis, make available in the prescribed manner a description of the categories of records of the private body that are automatically available without a person having to request access

¹⁰ Section 22(1) of PAIA- The information officer of a public body to whom a request for access is made, must by notice require the requester to pay the prescribed request fee (if any), before further processing the request.

¹¹ Section 54(1) of PAIA- The head of a private body to whom a request for access is made must by notice require the requester to pay the prescribed request fee (if any), before further processing the request.

¹² Section 92(1) of PAIA provides that –“The Minister may, by notice in the Gazette, make regulations regarding-

- (a) any matter which is required or permitted by this Act to be prescribed;
- (b) any matter relating to the fees contemplated in sections 22 and 54;
- (c) any notice required by this Act;
- (d) uniform criteria to be applied by the information officer of a public body when deciding which categories of records are to be made available in terms of section 15; and
- (e) any administrative or procedural matter necessary to give effect to the provisions of this Act.”

4.6 A copy of the Guide is also available in the following two official languages, for public inspection during normal office hours-

4.6.1 English

4.6.2 Afrikaans

5. CATEGORIES OF RECORDS OF WAUKO WHICH ARE AVAILABLE WITHOUT A PERSON HAVING TO REQUEST ACCESS

Category of records	Types of the Record	Available on Website	Available upon request
Policies, manuals, and disclosures	<ul style="list-style-type: none"> - PAIA Manual - Privacy Policy - Cyber Crime Warning and Disclosure - Conflict of Interest Policy - FAIS Disclosure - Complaints Policy 	<ul style="list-style-type: none"> X X X X X X 	
Public Affairs	<ul style="list-style-type: none"> - Company Profile - Public Company Information - Website Information 	<ul style="list-style-type: none"> X X X 	

6. DESCRIPTION OF THE RECORDS OF WAUKO WHICH ARE AVAILABLE IN ACCORDANCE WITH ANY OTHER LEGISLATION

Applicable Legislation	Category of Records
Basic Conditions of Employment Act 75 of 1997	<ul style="list-style-type: none"> - Employee details - Emergency contact details

	<ul style="list-style-type: none"> - Educational information - Background checks - Leave records - Disciplinary records - Training records
Companies Act 71 of 2008	<ul style="list-style-type: none"> - Memorandums of incorporation - Annual financial statements - CIPC company registration documents - UBO registers - Agendas and minutes of directors' meetings - Securities registers
Compensation for Occupational Injuries and Diseases Act 130 of 1993	<ul style="list-style-type: none"> - Earnings and other particulars of employees as prescribed
Disaster Management Act 57 of 2002	<ul style="list-style-type: none"> - COVID 19 employee attendance register - COVID 19 visitors register
Financial Advisory and Intermediary Services Act 37 of 2002	<ul style="list-style-type: none"> - License certificate and addendum - Register of representatives - Competence register and supporting documents - Supervision records - Section 13 certificates for each representative - Complaints policy - Conflict of Interest policy - FAIS Disclosure - Records of advice - Compliance reports (to be replaced by Conduct of Business Return)
Financial Intelligence Centre Act 38 of 2001	<ul style="list-style-type: none"> - Risk Management and Compliance Programme - Client Due Diligence Records - Training material, attendance registers and related assessments

	<ul style="list-style-type: none"> - Registration confirmation with FIC - Reports submitted to the FIC - Employee honesty and integrity checks
Income Tax Act 58 of 1962	<ul style="list-style-type: none"> - Invoices - IT3 - IRP5 - UIF information - PAYE information - Bankstatements
Occupational Health and Safety Act 85 of 1993	<ul style="list-style-type: none"> - OHS policy - Appointment letters - Incident register
Promotion of Access to Information Act 2 of 2000	<ul style="list-style-type: none"> - PAIA manual - Prescribed forms - Register of PAIA requests received
Protection of Personal Information Act 4 of 2013	<ul style="list-style-type: none"> - Privacy policy - Personal Information Impact Assessment - Registration certificate of Information Officers and Deputy Information Officer (if applicable) - Training manuals and registers
Value Added Tax Act 89 of 1991	<ul style="list-style-type: none"> - Invoices - Bank statements

7. DESCRIPTION OF THE SUBJECTS ON WHICH WAUKO HOLDS RECORDS AND CATEGORIES OF RECORDS HELD ON EACH SUBJECT BY WAUKO

Subjects on which the body holds records	Categories of records
Finance	<ul style="list-style-type: none"> - Bank statements - Budgets - Accounting records - Asset register

Subjects on which the body holds records	Categories of records
	<ul style="list-style-type: none"> - Invoices - Financial statements - UIF records - VAT records - PAYE records
Human Resources	<ul style="list-style-type: none"> - HR policies and procedures - Advertised positions - Information of prospective employees - Employees contracts - Personal information of employees - Training registers and material - Payroll records - Disciplinary records - Leave records - Emergency contact details
Information Technology	<ul style="list-style-type: none"> - Computer Software Agreements - Support and Maintenance Agreements - ICT policies and procedures - Event, maintenance, performance and development logs
Operational	<ul style="list-style-type: none"> - Risk management registers - IT policies and frameworks - Marketing material and social media posts

8. PROCESS TO REQUEST INFORMATION

8.1 Any person, irrespective whether they are a South African citizen or not, can make a request for information under PAIA. Natural persons and juristic persons can submit requests.

- 8.2 PAIA requires that if you want to make a request for information, you have to submit the request on the prescribed **Form 2**. A copy of Form 2 is attached to this manual as **Annexure B**. The PAIA Guides issued by the Information Regulator contains details on how the form should be completed.
- 8.3 Unless a person is exempted from paying a fee, the fees as set out in **Annexure C** to this manual is payable for making a request and to cover the cost of providing access to the records.
- 8.4 The Information Officer or Deputy Information Officer will inform the requestor of the outcome of their PAIA request as soon as possible but within 30 days after receiving the completed Form 2.
- 8.5 The Information Officer or Deputy Information Officer may request a once off additional 30-day extension for one or more of the following reasons:
- 8.5.1 A large number of records was requested, or the request requires that a large number of records must be searched, and this search will interfere with our normal activities;
 - 8.5.2 The request requires a search through records in an office not situated in Stellenbosch and could not be completed within the 30 days; and/or
 - 8.5.3 A level of consultation is required in order to act on the request, which cannot reasonably be completed within 30 days.
- 8.6 The outcome of your request will be reported to you on the prescribed **Form 3** which will also set out any fee payable. A copy of Form 3 is attached to this manual as **Annexure D**.
- 8.7 If your request is declined, you have the right to:
- 8.7.1 Submit a complaint on **Form 5** to the Information Regulator at PAIAComplaints@inforegulator.org.za ; or
 - 8.7.2 Approach a competent court.

A copy of Form 5 is attached to this manual as **Annexure E**.

9. PROCESSING OF PERSONAL INFORMATION

9.1 Purpose of Processing Personal Information

Wauko will collect personal information directly from the data subject, and process it in the course of providing services, and in conducting general communication, including in the course of applying for employment with us.

In addition, information will be collected from data subjects when they visit our website or any of our social media platforms, and when the data subject subscribes to any of our publications.

In compliance with Regulations issued in terms of the Disaster Management Act, we will also collect certain information from data subjects when they visit our office.

9.2 Description of the categories of Data Subjects and of the information or categories of information relating thereto

Categories of Data Subjects	Personal Information that may be processed
Clients (Individuals)	Name, surname, identity number or passport number, date of birth, age, marital status, citizenship, telephone numbers, email address, physical and postal addresses, income tax number, financial information, banking information including account numbers, FICA documentation, employment status, health information.
Client (Legal entities)	Entity name, registration number, tax-related information, contact details for representatives, banking information including account numbers, FICA documentation.
Directors	Names, identity numbers, FICA documentation, contact details, biometric data.

Categories of Data Subjects	Personal Information that may be processed
Service Providers	Names, registration number, VAT numbers, contact details, addresses, payment details (including bank account details and invoices), contractual agreements.
Employees / potential employees	Name, surname, identity number, contact details, physical and postal address, date of birth, age, marital status, gender, race, disability, employment history, criminal background checks, CVs, education history, banking details, income tax reference number, remuneration and benefit information, health information, details related to employee performance, disciplinary procedure information, biometric data.

9.3 The recipients or categories of recipients to whom the personal information may be supplied

Category of personal information	Recipients or Categories of Recipients to whom the personal information may be supplied
<u>Client (Individual)</u> Name, surname, identity number or passport number, date of birth, age, marital status, citizenship, telephone numbers, email address, physical and postal addresses, income tax number, financial information, banking information including account numbers, CDD documentation, employment status.	Financial Intelligence Centre Financial Sector Conduct Authority Information Regulator of South Africa Companies and Intellectual Property Commission Master of the High Court South African Police Services Moonstone Compliance (Pty) Ltd

Category of personal information	Recipients or Categories of Recipients to whom the personal information may be supplied
<p><u>Client (Legal entity)</u> Entity name, registration number, tax-related information, contact details for representatives, banking information including account numbers, financial information, CDD documentation.</p>	
<p><u>Employees</u> Name, surname, identity number, contact details, physical and postal address, date of birth, age, marital status, gender, race, disability, employment history, criminal background checks, CVs, education history, banking details, income tax reference number, remuneration and benefit information, health information, details related to employee performance, disciplinary procedure information, biometric data.</p>	<p>Financial Sector Conduct Authority Financial Intelligence Centre Clients South African Revenue Services Moonstone Compliance (Pty) Ltd</p>
<p>Identity number and names, for criminal checks</p>	<p>Lexis RefCheck South African Police Services</p>
<p>Qualifications, for qualification verifications</p>	<p>Lexi RefCheck South African Qualifications Authority</p>
<p>Credit and payment history, for credit information</p>	<p>Lexis RefCheck Credit Bureaus</p>

9.4 Planned transborder flows of personal information

Wauko may transfer the personal information of clients to service providers.

The categories of personal information that can be transferred is as follows:

Category of personal information	Recipients or Categories of Recipients to whom the personal information may be supplied
<p><u>Client (Individual)</u> Name, surname, identity number or passport number, date of birth, age, marital status, citizenship, telephone numbers, email address, physical and postal addresses, income tax number, financial information, banking information including account numbers, FICA documentation, employment status.</p> <p><u>Client (Legal entity)</u> Entity name, registration number, tax-related information, contact details for representatives, banking information including account numbers, financial information, FICA documentation.</p>	Service providers

9.5 General description of Information Security Measures to be implemented by the responsible party to ensure the confidentiality, integrity and availability of the information

Personal Information should be kept secure against the risk of loss, unauthorised access, interference, modification, destruction or disclosure. The Responsible Party is required to secure the integrity of personal information by taking appropriate, reasonable technical and organisational measures to prevent loss, damage, unauthorised access and unlawful access or processing of Personal Information. For this purpose, Wauko uses password protection, data encryption and anti-virus software to ensure the confidentiality and integrity of personal information.

10. AVAILABILITY OF THE MANUAL

10.1 A copy of the Manual is available-

10.1.1 On www.wauko.com;

10.1.2 head office of Wauko for public inspection during normal business hours;

10.1.3 to any person upon request and upon the payment of a reasonable prescribed fee; and

10.1.4 to the Information Regulator upon request.

10.2 A fee for a copy of the Manual, as contemplated in Annexure B of the Regulations, shall be payable per each A4-size photocopy made.

11. UPDATING OF THE MANUAL

The board of directors of Wauko will on a regular basis update this manual.

Issued by

Pieter van As le Roux

Group CEO

ANNEXURE A

**FORM 1
REQUEST FOR A COPY OF THE GUIDE**

(Regulation 2 and 3)

To: The Information Regulator
PO Box 31533, Braamfontein
2017

E-mail address: infoereg@justice.gov.za
Tel number: +27 (0)10 023 5200

Or: The Information Officer
PO Box 180, Stellenbosch
7599

I, Full names:			
In my capacity as (mark with "X"):	Information Officer		Other
Name of *Public/ Private body (if applicable)			
Postal Address:			
Street Address:			
E-mail address:			
Facsimile:			
Contact numbers:	Tel (W)		Cell

Hereby request the following copy(ies) of the Guide:

Language (mark with "X")	No of copies	Language (mark with "X")	No of copies
Sepedi		Sesotho	
Setswana		siSwati	
Tshivenda		Xitsonga	
Afrikaans		English	
isiNdebele		isiXhosa	
isiZulu			

Manner of collection (mark with "X")

Personal collection	Postal address	Facsimile	Electronic communication (<i>Please specify</i>)

Signed at _____ this _____ day of _____ 20_____

Signature of requester

**Delete whichever is not applicable*

ANNEXURE B

FORM 2
REQUEST FOR ACCESS TO RECORDS
 (Regulation 7)

NOTE:

1. *Proof of identity must be attached by the requester.*
2. *If requests made on behalf of another person, proof of such authorisation, must be attached to this form.*

TO: The Information Officer
 Unit 2, Devonbosch Estate,
 Bottelary Road, Koelenhof
 Stellenbosch, 7600

E-mail address: _____

Fax no: n/a

Mark with an "X"

Request is made in my own name Request is made in the name of another person

PERSONAL INFORMATION			
Full names			
Identity number			
Capacity in which request is made (when made on behalf of another person)			
Postal address			
Street address			
E-mail address			
Contact numbers	Tel. (B):		Facsimile:
	Cellular:		
Full names of person on whose behalf request is made (<i>if applicable</i>):			
Identity number			
Postal address			
Street address			

E-mail address			
Contact numbers	Tel. (B)		Facsimile
	Cellular		

PARTICULARS OF RECORDS REQUESTED

Provide full particulars of the record to which access is requested, including the reference number if that is known to you, to enable the record to be located (If the provided space is inadequate, please continue on a separate page and attach it to this form. All additional pages must be signed).

Description of record or relevant part of the record:	

Reference number, if available	
--------------------------------	--

Any further particulars of record	

TYPE OF RECORD
(Mark the applicable box with an "X")

Record is in written or printed form	
Record comprises virtual images (<i>this includes photographs, slides, video recordings, computer-generated images, sketches, etc</i>)	
Record consists of recorded words or information which can be reproduced in sound	
Record is held on a computer or in an electronic, or machine-readable form	

FORM OF ACCESS

(Mark the applicable box with and "X")

Printed copy of record (including copies of any virtual images, transcriptions and information held on computer or in an electronic or machine-readable form)	
Written or printed transcription of virtual images (this includes photographs, slides, video recordings, computer-generated images, sketches, etc)	
Transcription of soundtrack (written or printed document)	
Copy of record on flash drive (including virtual images and soundtracks)	
Copy of record on compact disc drive (including virtual images and soundtracks)	
Copy of record saved on cloud storage server	

MANNER OF ACCESS

(Mark the applicable box with an "X")

Personal inspection of record at registered address of public/private body (including listening to recorded words, information which can be reproduced in sound, or information held on computer or in an electronic or machine-readable form)	
Postal services to postal address	
Postal services to street address	
Courier service to street address	
Facsimile of information in written or printed format (including transcriptions	
E-mail of information (including soundtracks if possible)	
Cloud share/file transfer	
Preferred language (Note that if the record is not available in the language you prefer, access may be granted in the language in which the record is available)	

PARTICULARS OF RIGHT TO BE EXERCISED OR PROTECTED

If the provided space is inadequate, please continue on a separate page and attach it to this Form. The requester must sign all the additional pages.

Indicate which right is to be exercised or protected	
Explain why the record requested is required for the exercise or protection of the aforementioned right:	

FEES

- a) A request fee must be paid before the request will be considered.
- b) You will be notified of the amount of the access fee to be paid.
- c) The fee payable for access to a record depends on the form in which access is required and the reasonable time required to search for and prepare a record.
- d) If you qualify for exemption of the payment of any fee, please state the reason for exemption

Reason	

You will be notified in writing whether your request has been approved or denied and if approved the costs relating to your request, if any. Please indicate your preferred manner of correspondence:

Postal address	Facsimile	Electronic communication <i>(Please specify)</i>

Signed at _____ this _____ day of _____ 20_____

Signature of requested / person on whose behalf request is made

FOR OFFICE USE

Reference number:	
Request received by: (State rank, name and surname of Information Officer)	
Date received:	
Access fees:	
Deposit (if any):	

Signature of Information Officer

ANNEXURE C

FEES IN RESPECT OF PRIVATE BODIES

	Description	Amount
1.	The request fee payable by every requester	R140.00
2.	Photocopy / printed black & white copy of A4-size page	R2.00 per page or part thereof
3.	Printed copy of A4-size page	R2.00 per page or part thereof
4.	For a copy in a computer-readable form on:	
	(i) Flash drive (to be provided by requester)	R40.00
	(ii) Compact disc	
	• If provided by requester	R40.00
	• If provided to the requester	R60.00
5.	For a transcription of visual images per A4-size page	Service to be outsourced.
6.	Copy of visual images	Will depend on quotation from service provider
7.	Transcription of an audio record, per A4-size page	R24.00
8.	Copy of an audio record on:	
	(i) Flash drive (to be provided by the requester)	R40.00
	(ii) Compact disc	
	• If provided by requester	R40.00
	• If provided to the requester	R60.00
9.	To search for and prepare the record for disclosure for each hour or part of an hour, excluding the first hour, reasonably required for such search and preparation.	R145.00
	To not exceed a total cost of	R435.00
10.	Deposit: If search exceeds 6 hours	One third of amount per request calculated in terms of items 2 to 8.
11.	Postage, e-mail or any other electronic transfer	Actual expense, if any.

ANNEXURE D

FORM 3
OUTCOME OF REQUEST AND OF FEES PAYBLE
 (Regulation 8)

Note:

1. *If your request is granted the—*
 - (a) *amount of the deposit, (if any), is payable before your request is processed; and*
 - (b) *requested record/portion of the record will only be released once proof of full payment is received.*
2. *Please use the reference number hereunder in all future correspondence.*

Reference number: _____

TO: _____

Your request dated _____, refers.

1. You requested:

Personal inspection of information at registered address of public/private body (including listening to recorded words, information which can be reproduced in sound, or information held on computer or in an electronic or machine-readable form) is free of charge. You are required to make an appointment for the inspection of the information and to bring this Form with you. If you then require any form of reproduction of the information, you will be liable for the fees prescribed in Annexure B.	
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OR

2. You requested:

Printed copies of the information (including copies of any virtual images, transcriptions and information held on computer or in an electronic or machine-readable form)	
Written or printed transcription of virtual images (<i>this includes photographs, slides, video recordings, computer-generated images, sketches, etc</i>)	
Transcription of soundtrack (<i>written or printed document</i>)	

Copy of information on flash drive (<i>including virtual images and soundtracks</i>)	
Copy of information on compact disc drive (<i>including virtual images and soundtracks</i>)	
Copy of record saved on cloud storage server	

3. To be submitted:

Postal services to postal address	
Postal services to street address	
Courier service to street address	
Facsimile of information in written or printed format (<i>including transcriptions</i>)	
E-mail of information (<i>including soundtracks if possible</i>)	
Cloud share/file transfer	
Preferred language: <i>(Note that if the record is not available in the language you prefer, access may be granted in the language in which the record is available)</i>	

Kindly note that your request has been:

- Approved
- Denied, for the following reasons:

4. Fees payable with regards to your request:

Item	Cost per A4-size page or part thereof / item	Number of pages/ items	Total
The request fee payable by every requester	R140.00		
Photocopy / printed black & white copy of A4-size page	R2.00 per page or part thereof		

Printed copy of A4-size page	R2.00 per page or part thereof		
For a copy in a computer-readable form on:	R40.00		
(iii) Flash drive (to be provided by requestor)	R40.00		
(iv) Compact disc	R60.00		
• If provided by requestor			
• If provided to the requestor			
For a transcription of visual images per A4-size page	Service to be outsourced.		
Copy of visual images	Will depend on quotation from service provider		
Transcription of an audio record, per A4-size page	R24.00		
Copy of an audio record on:			
(iii) Flash drive (to be provided by the requestor)	R40.00		
(iv) Compact disc	R40.00		
• If provided by requestor	R60.00		
• If provided to the requestor			
To search for and prepare the record for disclosure for each hour or part of an hour, excluding the first hour, reasonably required for such search and preparation. To not exceed a total cost of	R145.00 R435.00		
Deposit: If search exceeds 6 hours	One third of amount per request calculated in terms of items 2 to 8.		
Postage, e-mail or any other electronic transfer	Actual expense, if any.		

5. Deposit payable (if search exceeds six hours):

Yes

No

Hours of search		Amount of deposit (calculated on one third of total amount per request)	
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The amount must be paid into the following Bank account:

Name of Bank: _____

Name of account holder: _____

Type of account: _____

Account number: _____

Branch Code: _____

Reference Nr: _____

Submit proof of payment to: compliance@wauko.com

Signed at _____ this _____ day of _____ 20_____

Information Officer

ANNEXURE E

FORM 5 COMPLAINT FORM (Regulation 10)

NOTE:

1. This form is designed to assist the Requester or Third Party (hereinafter referred to as “the Complainant”) in requesting a review of a Public or Private Body’s response or non-response to a request for access to records under the Promotion of Access to Information Act, 2000 (Act No. 2 of 2000) (“PAIA”). Please fill out this form and send it to the following email address: PAIAComplaints@infoRegulator.org.za or complete online complaint form available at <https://www.justice.gov.za/infoREG/> .
2. PAIA gives a member of the public a right to file a complaint with the Information Regulator about any of the nature of complaints detailed in part F of this complaint form.
3. It is the policy of the Information Regulator to defer investigating or to reject a complaint if the Complainant has not first given the public or private body (herein after referred to as “the Body”) an opportunity to respond to and attempt to resolve the issue. To help the Body address your concerns prior to approaching the Information Regulator, you are required to complete the prescribed PAIA Form 2 and submit it to the Body.
4. A copy of this Form will be provided to the Body that is the subject of your complaint. The information you provide on this form, attached to this form or that you supply later, will only be used to attempt to resolve your dispute, unless otherwise stated herein.
5. The Information Regulator will only accept your complaint once you confirm having complied with the prerequisites below.
6. **Please attach copies of the following documents, if you have them:**
 - a. Copy of the form to the Body requesting access to records;
 - b. The Body’s response to your complaint or access request;
 - c. Any other correspondence between you and the Body regarding your request;
 - d. Copy of the appeal form, if your complaint relate to a public body;
 - e. The Body’s response to your appeal;
 - f. Any other correspondence between you and the Body regarding your appeal;
 - g. Documentation authorizing you to act on behalf of another person (if applicable);
 - h. Court Order or Court documents relevant to your complaint, if any.
7. If the space provided for in this Form is inadequate, submit information as an Annexure to this Form and sign each page.

CAPACITY OF PERON / PARTY LODGING A COMPLAINT

(Mark with an "X")

- Complainant personally
- Representative of complainant
- Third party

PREREQUISITES				
Did you submit request (PAIA form) for access to record of a public/private body?	Yes		No	
Has 30 days lapsed from the date on which you submitted your PAIA form?	Yes		No	
Did you exhaust all the internal appeal procedure against a decision of the Information officer of a public body?	Yes		No	
Have you applied to Court for appropriate relief regarding this matter?	Yes		No	

FOR INFORMATION REGULATOR'S USE ONLY				
<i>Received by: (Full names)</i>				
<i>Position</i>				
<i>Signature</i>				
<i>Complaint accepted</i>	Yes		No	
<i>Reference number</i>				

Postal address	Facsimile	Other electronic communication <i>(Please specify)</i>

PART A PERSONAL INFORMATION OF COMPLAINANT	
Full names	
Identity number	
Postal address	
Street address	

E-mail address				
Contact numbers	Tel. (B)		Facsimile	
	Cellular			
PART B				
REPRESENTATIVE INFORMATION				
<i>(Complete only if you will be represented. A Power of Attorney must be attached if complainant is represented, failing which the complaint will be rejected)</i>				
Full names of Representative				
Nature or representation				
Identity number / Registration number				
Postal address				
Street address				
E-mail address				
Contact numbers	Tel. (B)		Facsimile	
	Cellular			
PART C				
THIRD PARTY INFORMATION				
<i>(Please attach letter of authorisation)</i>				
Type of body	Private		Public	
Name of public / private body				
Registration number (if any)				
Name, surname and title of person authorised to lodge a complaint				
Postal address				
Street address				
E-mail address				
Contact numbers	Tel. (B)		Facsimile	
	Cellular			
PART D				
BODY AGAINST WHICH THE COMPLAINT IS LODGED				
Type of body	Private		Public	
Name of public / private body				
Registration number (if any)				
Name, surname and title of person you dealt with at the public or private body to try to resolve your complaint or				

request for access to information				
Postal address				
Street address				
E-mail address				
Contact numbers	Tel. (B)		Facsimile	
	Cellular			
Reference number given (if any)				
PART E COMPLAINT <i>Tell us about the steps you have taken to try to resolve your complaint (Complaints should first be submitted directly to the public or private body for response and possible resolution)</i>				
Date on which request for access to records submitted.				
Please specify the nature of the right(s) to be exercised or protected, if a complaint is against a private body.				
Have you attempted to resolve the matter with the organisation?	Yes		No	
If yes, when did you receive it? (Please attach the letter to this application)				
Did you appeal against a decision of the information officer of the public body?	Yes		No	
If yes, when did you lodge an appeal?				
Have you applied to Court for appropriate relief regarding this matter?	Yes		No	
If yes, please indicate when was the matter adjudicated by the Court? Please attach Court Order, if there is any.				
PART F DETAILED TYPE OF ACCESS TO RECORDS <i>(Please select one or more of the following to describe your complaint to the Information Regulator)</i>				

Unsuccessful appeal (Section 77A(2)(a) or section 77A(3)(a) of PAIA)	<i>I have appealed against the decision of the public body and the appeal is unsuccessful.</i>	
Unsuccessful application for condonation (Sections 77A(2)(b) and 75(2) of PAIA)	<i>I filed my appeal against the decision of the public body late and applied for condonation. The condonation application was dismissed.</i>	
Refusal of a request for access (Section 77A(2)(c)(i) or 77A(2)(d)(i) or 77A(3)(b) of PAIA)	<i>I requested access to information held by a body and that request was refused or partially refused.</i>	
The body requires me to pay a fee and I feel it is excessive (Sections 22 or 54 of PAIA)	<i>Tender or payment of the prescribed fee.</i>	
	<i>The tender or payment of a deposit</i>	
Repayment of the deposit (Section 22(4) of PAIA)	<i>The information officer refused to repay a deposit paid in respect of a request for access which is refused.</i>	
Disagree with time extension (Sections 26 or 57 of PAIA)	<i>The body decided to extend the time limit for responding to my request, and I disagree with the requested time limit extension, or a time extension taken to respond to my access request.</i>	
Form of access denied (Section 29(3) or 60(a) of PAIA)	<i>I requested access in a particular and reasonable form and such form of access was refused.</i>	
Deemed refusal (Section 27 or 58 of PAIA)	<i>It is more than 30 days since I made my request and I have not received a decision.</i>	
	<i>Extension period has expired, and no response was received.</i>	
Inappropriate disclosure of a record (Mandatory grounds for refusal of access to record)	<i>Records (that are subject to the grounds for refusal of access) have inappropriately /unreasonably been disclosed.</i>	
No adequate reasons for the refusal of access (Section 56(3)(a) of PAIA)	<i>My request for access is refused, and no valid or adequate reasons for the refusal, were given, including the provisions of this Act which were relied upon for the refusal.</i>	
Partial access to record (Section 28(2) or 59(2) of PAIA)	<i>Access to only a part of the requested records was granted and I believe that more of the records should have been disclosed.</i>	
Fee waiver (Section 22(8) or 54(8) of PAIA)	<i>I am exempt from paying any fee and my request to waive the fees was refused.</i>	

Records that cannot be found or do not exist (Section 23 or 55 of PAIA)	<i>The Body indicated that some or all of the requested records do not exist and I believe that more records do exist.</i>	
Failure to disclose records	<i>The Body decided to grant me access to the requested records, but I have not received them.</i>	
No jurisdiction (exercise or protection of any rights) (Section 50(1)(a) of PAIA)	<i>The Body indicated that the requested records are excluded from PAIA and I disagree.</i>	
Frivolous or vexatious request (Section 45 of PAIA)	<i>The Body indicated that my request is manifestly frivolous or vexatious and I disagree.</i>	
Other (Please explain)		
PART G		